

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/851,231	05/07/2001	Peter Krulevitch	IL-10581	3998
7590 11/22/2004		EXAMINER		
Alan H. Thompson Assistant Laboratory Counsel			SIMONE, CATHERINE A	
Lawrence Live	rmore National Laboratory		ART UNIT	PAPER NUMBER
P.O. Box 808, I Livermore, CA			1772	
			DATE MAILED: 11/22/2004	1

Please find below and/or attached an Office communication concerning this application or proceeding.

	A 11 41 34	·	MU
	Application No.	Applicant(s)	
Office Action Summary	09/851,231	KRULEVITCH ET AL.	
omoo Action Guillinary	Examiner	Art Unit	-
The MAILING DATE CO.	Catherine Simone	1772	
The MAILING DATE of this communication a Period for Reply	ppears on the cover sheet wit	h the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REP THE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a re  - If NO period for reply is specified above, the maximum statutory period  - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mailine earned patent term adjustment. See 37 CFR 1.704(b).	I. 1.136(a). In no event, however, may a re- ply within the statutory minimum of thirty d will apply and will expire SIX (6) MONT	oly be timely filed (30) days will be considered timely. HS from the mailing date of this communicatio	on.
Status		•	
1) Responsive to communication(s) filed on 26.	August 2004		
	is action is non-final.		
3)☐ Since this application is in condition for allowa	ance except for formal matta	re procedution as to the control	_
closed in accordance with the practice under	Ex parte Quavle 1035 CD	a, prosecution as to the merits is	S
Disposition of Claims		r i, 400 O.G. 213.	
	_		
4) Claim(s) <u>1-16</u> is/are pending in the application			
<ul><li>4a) Of the above claim(s) <u>1-10</u> is/are withdraw</li><li>5) ☐ Claim(s) is/are allowed.</li></ul>	n from consideration.		
_			
6)⊠ Claim(s) <u>11-16</u> is/are rejected. 7)□ Claim(s) is/are objected to			
(1) 10 21 0 00 00 00 10.			
8) Claim(s) are subject to restriction and/o	or election requirement.		
application Papers	•		
9)☐ The specification is objected to by the Examine	er.		
10) The drawing(s) filed on is/are: a) acc	cepted or b) objected to by	the Examiner	
Applicant may not request that any objection to the	drawing(s) be held in abevance	See 37 CED 1 85(a)	
Replacement drawing sheet(s) including the correct	tion is required if the drawing(e)	is objected to Soc 27 OFD 4 4044	`
11) The oath or declaration is objected to by the Ex	xaminer. Note the attached C	Office Action or form DTO 450	<i>)</i> .
riority under 35 U.S.C. § 119		Action of follow FTO-102.	
-			
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 1	19(a)-(d) or (f).	
a) ☐ All b) ☐ Some * c) ☐ None of:			
1. Certified copies of the priority document	s have been received.		
2. Certified copies of the priority document	s have been received in App	ication No	
3. Copies of the certified copies of the prior	rity documents have been re	ceived in this National Stage	
application from the International Bureau	u (PCT Rule 17.2(a)).		
* See the attached detailed Office action for a list	of the certified copies not rec	eived.	
ook was and the	•		
achmennen			
achment(s)  Notice of References Cited (PTO 802)	🗖		
Notice of References Cited (PTO-892)	4) Interview Sumi	nary (PTO-413)	
Notice of References Cited (PTO-892)	Paper No(s)/M	nary (PTO-413) ail Date nal Patent Application (PTO-152)	

Art Unit: 1772

#### **DETAILED ACTION**

## Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 8/26/04 has been entered.

## Withdrawn Rejections

- 2. The 35 U.S.C. 112 rejection of claims 11-16 of record in the Final Office Action mailed 6/23/04, Page 2, Paragraph #3 has been withdrawn due to the Applicants amendment filed 8/26/04.
- 3. The 35 U.S.C. 102 rejection of claims 11 and 13-15 as anticipated by Krulevitch et al. of record in the Final Office Action mailed 6/23/04, Page 3, Paragraph #5 has been withdrawn due to the Applicants declaration filed 8/26/04.
- 4. The 35 U.S.C. 103 rejection of claims 12 and 16 over Krulevitch et al. of record in the Final Office Action mailed 6/23/04, Pages 4-5, Paragraph #7 has been withdrawn due to the Applicants declaration filed 8/26/04.
- 5. The obviousness-type double patenting rejection of claims 11-16 over Krulevitch et al. of record in the Final Office Action mailed 6/23/04, Page 5, Paragraph #9 has been withdrawn due to the Applicants terminal disclaimer filed 8/26/04.

Application/Control Number: 09/851,231 Page 3

Art Unit: 1772

# Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 7. Claims 11-15 are rejected under 35 U.S.C. 102(b) as being anticipated by Biasse et al. (5,656,181).

Biasse et al. discloses an apparatus having a sealed microchannel therein comprising an etched substrate (Fig. 3a, #22), an etched microchannel (Fig. 3a, #28) in the etched substrate, an annealed substrate (Fig. 3a, #32) positioned on the etched substrate so that the annealed substrate covers the etched microchannel in the etched substrate (Fig. 3b), annealing the etched substrate and the annealed substrate to form an annealed microchannel (Fig. 3b, #38) in the annealed substrate over the etched microchannel (Fig. 3b, #28) in the etched substrate, and bonding the etched substrate to the annealed substrate forming a bond connecting the etched substrate and the annealed substrate, wherein the etched microchannel and the annealed microchannel comprise the sealed microchannel (Fig 3c, #48, also see col. 4, lines 8-13 and 38-60). Regarding claim 12, note a high temperature annealing in the 600° to 800° range when annealing the etched substrate and the annealed substrate to form annealed microchannel (see col. 4, lines 41-42). Regarding claim 13, note the etched microchannel in the etched substrate and the annealed microchannel in the etched substrate and the annealed microchannel in the etched substrate and the annealed microchannel in

Application/Control Number: 09/851,231

Art Unit: 1772

note the etched substrate and the annealed substrate are glass (see col. 3, line 62). Regarding claim 15, note the bond comprises fusion bonding (see col. 4, lines 12-22).

Furthermore, it is to be noted that the limitations "providing", "positioning", "annealing" and "bonding" are methods of production and therefore do not determine the patentability of the product itself. Process limitations are given little or no patentable weight. The method of forming the product is not germane to the issue of patentability of the product itself. MPEP 2113.

# Claim Rejections - 35 USC § 103

- 8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Biasse et al. (5,656,181).

Biasse et al. discloses an apparatus having a sealed microchannel therein comprising an etched substrate (Fig. 3a, #22), an etched microchannel (Fig. 3a, #28) in the etched substrate, an annealed substrate (Fig. 3a, #32) positioned on the etched substrate so that the annealed substrate covers the etched microchannel in the etched substrate (Fig. 3b), annealing the etched substrate and the annealed substrate to form an annealed microchannel (Fig. 3b, #38) in the annealed substrate over the etched microchannel (Fig. 3b, #28) in the etched substrate, and bonding the etched substrate to the annealed substrate forming a bond connecting the etched substrate and the annealed substrate, wherein the etched microchannel and the annealed microchannel comprise

Art Unit: 1772

the sealed microchannel (Fig 3c, #48; also see col. 4, lines 8-13 and 38-60). However, Biasse et al. fails to disclose a depth of about 10  $\mu$ m and a width of about 20  $\mu$ m for the annealed microchannel. Biasse et al. does, however, teach a microchannel having a width of 125  $\mu$ m and a depth of 3 mm (see col. 6, lines 37-38). Therefore, the optimum ranges for the width and depth of the microchannel would be readily determined through routine experimentation by one having ordinary skill in the art depending on the desired end results. Thus, it would have been obvious to one of ordinary skill in the art at the time the applicant's invention was made to have modified the depth and width of the microchannel in Biasse et al. to be of about 10  $\mu$ m in depth and of about 20  $\mu$ m in width, since it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art in absence of showing unexpected results. MPEP 2144.05 (II).

### Response to Arguments

10. Applicant's arguments with respect to claims 11-16 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Catherine Simone whose telephone number is (571)272-1501. The examiner can normally be reached on 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Harold Pyon can be reached on (571) 272-1498. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Catherine Simone Examiner Art Unit 1772 November 2, 2004

HAROLD PYON SUPERVISORY PATENT EXAMINER

11/10/04